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IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE



PATENT APPLICATION

Garsh Blumberg

CASE 5

Serial No. 10/824245

Group Art Unit 2874

Filed April 14, 2004

Examiner

Title Plasmon-Enhanced Tapered Optical Fibers

COMMISSIONER FOR PATENTS

P.O. BOX 1450

ALEXANDRIA, VA 22313-1450

SIR:

Enclosed is a **Preliminary Amendment Under 37 C.F.R. §§ 1.115, 1.121** in the above-identified application.

NO ADDITIONAL FEE REQUIRED

In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **Deposit Account No. 12-2325** as required to correct the error.

Respectfully,

John F. McCabe, Attorney
Reg. No. 42854
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Date: Nov 9, 2004

Docket Administrator (Room 3J-219)

Lucent Technologies Inc.

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Date of Deposit Nov. 10, 2004

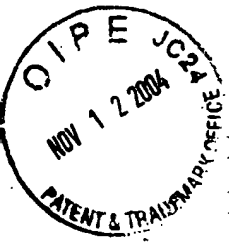
I hereby certify that this correspondence is being deposited with the United States Postal Service First Class Mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated above.

Catherine F. Dugan

Printed name of person mailing paper

Signature of person mailing paper

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Patent Application

Inventors: Girsh Blumberg

Case No.: 5

Serial No.: 10/824,245

Group Art Unit:

Filing Date: April 14, 2004

Examiner: Unknown

Title: PLASMON-ENHANCED TAPERED OPTICAL FIBERS

10 **Mail Stop: Patent Application**
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

15 **Sir:**

PRELIMINARY AMENDMENT UNDER 37 C.F.R. §§ 1.115, 1.121

Applicants request entry of this amendment prior to the first Office Action.

- a. Introductory Comments**
Herein, Figure 4 is amended.